



Strengthening financial promotion rules for high-risk investments and firms approving financial promotions, including cryptoassets

self-assessment



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Self Assessment Desk Aid

Thank you for downloading our free self-assessment guide!

This self-assessment table is not exhaustive, but it covers some key areas that regulated firms should consider when reviewing their compliance and their exposure to the new regulatory framework.

By completing this self-assessment, firms can identify areas where they may need to take action to ensure compliance with FCA regulations and to mitigate any potential risks or liabilities.

As always, should you require any further assistance, do not hesitate to contact us.

Self Assessment

CRITERIA	YES/NO	NOTES
Does your firm market cryptoassets to UK consumers?		
Is your firm registered with the FCA under the MLRs?		
Is your firm otherwise an authorised person under the Financial Services and Markets Act 2000?		
Will your firm communicate cryptoasset promotions through an FCA authorised person or an approved financial promotion?		
Will your firm use an exemption in the Financial Promotion Order for cryptoasset promotions?		

Desk Aid

Use this page to leave notes as evidence of consideration and compliance.



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